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2 THE HONORABLE JAMES L. ROBART
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IN THE UNITED STATES DISTRICT COURT
FOR THE WESTERN DISTRICT OF WASHINGTON
AT SEATTLE

9 DEBRA RICHMOND-PROHASKA,
10 Plaintiff,
11 vs.
12 ETHICON, INC.,
13 Defendant.

Case No. 2:23-CV-00210-JLR

**AGREED ORDER AND
STIPULATION REGARDING
PATHOLOGY PROTOCOL FOR
PRESERVATION AND TESTING OF
EXPLANTS AND TISSUE SAMPLES
TAKEN FROM PLAINTIFF**

16 Counsel for the parties having consented hereto,

17 IT IS on this 15th day of November, 2023, ORDERED that:

18 1. This protocol governs the preservation, collection, storage, and division of gross
19 and microscopic material purported to contain mesh or any other of Plaintiff's tissue excised or
20 surgically explanted from Plaintiff at or near the site of mesh implantation, including but not
21 limited to slides, tissue/paraffin blocks, and gross material (the "Materials"). The Materials related
22 to explanted meshes are potentially unique and important evidence given the claims in cases
23 involving surgical mesh.

24 2. With one exception, nothing in this protocol directs healthcare facilities to deviate
25 from normal procedures and policies regarding patient care, pathology processing and analysis,

1 and specimen retention. The exception is where, in the normal course of business, any healthcare
2 facility would destroy explanted mesh or Materials.

3 **Preservation and Collection**

4
5 3. The Plaintiff will notify the health care facility that takes possession of the
6 explanted Materials at the time of the mesh removal that the Materials should be preserved and
7 that the Materials should be sent to Steelgate, Inc.

8
9 a. Where Plaintiff's counsel learns of a Plaintiff's potential revision/explant surgery
10 AFTER the date the surgery occurred, Plaintiff's counsel must provide the agreed
11 upon Past Surgery preservation notice to the facility (attached as Exhibit A).
12 Ethicon's attorneys must also be copied on this correspondence.
13
14 b. Where Plaintiff's counsel learns of a Plaintiff's potential revision/explant surgery
15 BEFORE the scheduled date of surgery, Plaintiff's counsel must timely provide the
16 agreed upon Future Surgery preservation notice to the facility (attached as Exhibit
17 B). Ethicon's attorneys must also be copied on this correspondence.

18 4. Once a preservation notice has been sent, Steelgate, Inc. will arrange shipment of
19 the Materials to its facility in Florida. Steelgate will then act as a third-party repository of any
20 such Materials.

21 5. The parties will not interfere with the analysis and preservation of the Materials by
22 the explanting facilities to which any of the Plaintiff's treating physicians have sent or will send
23 the Materials in the normal course of business.

24 6. Steelgate will timely notify Plaintiff and Defendant if and when the Materials are
25 requested from any facility and of obtaining the Materials. The written notification of receipt will
include the facility from which the Materials were received, an itemized inventory of the Materials
obtained (including collection date, type of specimen, and source site). Plaintiff will take all

1 and specimen retention. The exception is where, in the normal course of business, any healthcare
2 facility would destroy explanted mesh or Materials.

3 **Preservation and Collection**

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18 such Materials.

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20 the explanting facilities to which any of the Plaintiff's treating physicians have sent or will send
21 the Materials in the normal course of business.

22 6. Steelgate will timely notify Plaintiff and Defendant if and when the Materials are
23 requested from any facility and of obtaining the Materials. The written notification of receipt will
24 include the facility from which the Materials were received, an itemized inventory of the Materials
25 obtained (including collection date, type of specimen, and source site). Plaintiff will take all

1 reasonable steps to ascertain collection dates and will notify Defendant immediately upon receipt
2 of this information. Plaintiff, Defendant, and Steelgate shall document the chain of custody for all
3 the Materials using the form attached as Exhibit C.

4 7. To the extent that the Materials have already been requested and/or are in
5 possession of Plaintiff or any of Plaintiff's representative(s), within forty-five (45) days of the
6 entry of this Order Plaintiff will provide Defendant with an itemized inventory of the Materials
7 obtained (including collection date, type of specimen, source site, and a precise description of the
8 state of the materials encompassing fixation method (where applicable), a physical description
9 with the dimensions of any explanted material, color copies of any and all photographs of the
10 Materials, information regarding whether they have been altered in any fashion, an accounting of
11 what was received and what remains, etc.), and the name of the facility from which the materials
12 were obtained. Plaintiff will take reasonable steps to obtain collection date information and will
13 supplement information to Defendant upon receipt. Plaintiff will then, within sixty (60) days of
14 the entry of this Order, transfer such Materials for storage at Steelgate.

15 Storage
16

17 8. The Materials shall be preserved in a manner that permits the parties equal access
18 and analysis. The fixative used by the explanting health care facility shall be used while the
19 explanted Materials are stored at Steelgate.

20 9. The parties agree to split the expense of the monthly storage fees incurred by
21 storage of Materials at Steelgate. Following the division of Gross Specimens, each party shall bear
22 its own costs of continued storage of its share of the Materials at Steelgate, if any.

23 10. Prior to shipping any Materials, Gross Specimens, Slides, or any other pathology
24 to Plaintiff's expert as contemplated in the sections "Division of Gross Specimens" and "Other
25 Pathology" below, Plaintiff shall notify Defendant of her intention to ship said materials. Plaintiff

1 reasonable steps to ascertain collection dates and will notify Defendant immediately upon receipt
2 of this information. Plaintiff, Defendant, and Steelgate shall document the chain of custody for all
3 the Materials using the form attached as Exhibit C.

4 7. To the extent that the Materials have already been requested and/or are in
5 possession of Plaintiff or any of Plaintiff's representative(s), within forty-five (45) days of the
6 entry of this Order Plaintiff will provide Defendant with an itemized inventory of the Materials
7 obtained (including collection date, type of specimen, source site, and a precise description of the
8 state of the materials encompassing fixation method (where applicable), a physical description
9 with the dimensions of any explanted material, color copies of any and all photographs of the
10 Materials, information regarding whether they have been altered in any fashion, an accounting of
11 what was received and what remains, etc.), and the name of the facility from which the materials
12 were obtained. Plaintiff will take reasonable steps to obtain collection date information and will
13 supplement information to Defendant upon receipt. Plaintiff will then, within sixty (60) days of
14 the entry of this Order, transfer such Materials for storage at Steelgate.

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18 and analysis. The fixative used by the explanting health care facility shall be used while the
19 explanted Materials are stored at Steelgate.

20 9. The parties agree to split the expense of the monthly storage fees incurred by
21 storage of Materials at Steelgate. Following the division of Gross Specimens, each party shall bear
22 its own costs of continued storage of its share of the Materials at Steelgate, if any.

23 10. Prior to shipping any Materials, Gross Specimens, Slides, or any other pathology
24 to Plaintiff's expert as contemplated in the sections "Division of Gross Specimens" and "Other
25 Pathology" below, Plaintiff shall notify Defendant of her intention to ship said materials. Plaintiff

1 must provide the available information contained in Paragraphs 6 and 7 above prior to shipment.
 2 Once provided this information, Defendant will have two (2) business days to alert the Plaintiff
 3 prior to shipment of any need to meet and confer based on the state of the materials to be shipped.
 4 In the event no agreement can be reached, the parties will seek the Court's guidance.

5 **Division of Gross Specimens**

7 11. For the purposes of this section, "Gross Specimens" are preserved, explanted
 8 meshes and/or tissues that have not been processed into tissue/paraffin blocks or histology slides.

9 12. Each party is entitled to a representative one-half of any Gross Specimens in the
 10 exact form as received from the explanting healthcare facility.

11 13. Division of Gross Specimens will occur no later than sixty (60) days prior to the
 12 Plaintiff's expert deadline.

13 14. Any division of Gross Specimens will be carried out by Plaintiff's representative(s)
 14 with the coordination and input of Defendant's representative(s) to protect Defendant's interest in
 15 receiving a representative one-half of the Gross Specimen.

16 15. Defendant's representatives will have the right to inspect the Gross Specimen and
 17 obtain photographs and optical micrographs of the specimen prior to any alteration by or on behalf
 18 of Plaintiff's representative(s), and will have the right to observe, and provide input into, division
 19 of the specimen into two comparable and approximately equal samples of mesh and tissue.

20 16. No tissue will be separated from mesh prior to dividing the Gross Specimen.

21 17. If the parties cannot agree regarding the manner of division of the Gross Specimen
 22 or any portion thereof, the parties will meet and confer in good faith before any division takes
 23 place. Neither party will perform any examination, analysis, or testing of the Gross Specimen in
 24 any way prior to reaching mutual agreement. In the event no agreement can be reached, the parties
 25 will seek the Court's guidance.

- 1 a. If the amount of the preserved Gross Specimen is such that division in a manner
2 permitting analysis of the mesh and surrounding tissue by both parties is uncertain
3 upon receipt, the parties will meet and confer in good faith. No party will perform
4 any examination, analysis, or testing of the Gross Specimen or alter the Gross
5 Specimen in any way prior to reaching mutual agreement. In the event that no
6 agreement can be reached, the parties will seek the Court's guidance.
- 7 b. If during the course of dividing the Gross Specimen to preserve at least one-half for
8 use by Defendant, the impossibility of providing Defendant with the Gross
9 Specimen useable in the same manner as that intended by the Plaintiff becomes
10 apparent, the parties will likewise meet and confer in a good faith effort and, if
11 necessary, seek the Court's guidance. Neither party will perform any examination,
12 analysis, or testing of the Gross Specimen or alter the Gross Specimen in any way
13 prior to reaching mutual agreement protocol.

14 18. Following the division of any Gross Specimen into halves that are agreed upon by
15 the parties' representatives present at the division of the Gross Specimen, the parties are free to
16 conduct any testing or analysis on their halves, including destructive testing.

17 **Other Pathology**

19 19. Upon receipt, Steelgate will maintain any tissue/paraffin blocks or slides obtained
20 from pathology ("the Slides") in an appropriate manner that effectively preserves them for
21 examination and testing by both parties.

- 22 a. The tissue/paraffin blocks and/or slides will be stored and maintained by Steelgate
23 until requested by either party.

- b. No later than three (3) months prior to the Plaintiff's expert deadline, the parties will meet and confer regarding retrieval from Steelgate of the tissue/paraffin blocks and/or slides related to the Plaintiff.
- c. The apportionment and trading of tissue/paraffin blocks and/or slides will follow the procedure discussed in Paragraph 20 of this protocol. The parties will meet and confer regarding the Plaintiff's selection of a representative who will be responsible for creating pathology slides from the tissue/paraffin blocks and the procedure for creating such recuts. In the event that no agreement can be reached, the parties will seek the Court's guidance.
- d. Pathology slides will be created from the tissue/paraffin blocks using standard operating procedures, by or under the direction of the representative selected according to Paragraph 19(c).

20. To the extent that any facility will not release tissue/paraffin blocks and/or slides to Steelgate absent a court order, and to extent either party seeks to request any facility cut additional slides from any tissue/paraffin block for use by their expert(s), the requesting party will ensure that the tissue/paraffin block contains sufficient material to create an equal number of slides for each party. Prior to requesting such slides, the requesting party shall notify the other side and meet and confer regarding the number of slides to be requested and whether the slides will be stained or unstained. The parties will work to complete the meet and confer process such that the slides can be requested from the facility within seven (7) days of the requesting party's notification. Plaintiff will timely provide Defendant the Slides (including originals and recuts) following Plaintiff's expert(s) review. Defendant will likewise provide Plaintiff any recuts reviewed by Defendant's expert(s).

1 21. This Order specifically authorizes any facility in possession of any Materials
2 relating to the Plaintiff to provide the Materials to Steelgate when requested, assuming Healthcare
3 Insurance Portability and Accountability Act requirements are otherwise satisfied.

4 This Order does not modify any of the Plaintiff's pre-existing preservation obligations.

5
6 ENTERED: November 15, 2023

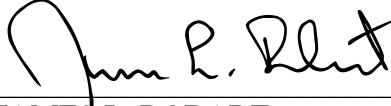
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8 JAMES L. ROBART
9 UNITED STATES DISTRICT JUDGE

EXHIBIT “A”

NOTICE TO PRESERVE EVIDENCE
URGENT ACTION REQUIRED

[Date]

Attn: Department of Pathology

[Address of Facility]

Re: [Date of Surgery, Case Caption]

Dear Department of Pathology:

I represent the Plaintiff, [PLAINTIFF FIRST, MIDDLE, LAST NAME], and _____, copied below, represents the Defendant, Ethicon, Inc. (Ethicon), in the above-referenced lawsuit. There is no litigation pending against your facility or the treating physician in this matter. The pathology and any explanted mesh material is important evidence in pending litigation against Ethicon and preservation of these items is critical. I write to request the following:

- **Please verify if any pathology and explant material is available** from [FIRST, MIDDLE, LAST NAME]’s [date] surgery performed by Dr. [Explant Surgeon], **if so, please continue to preserve using your standard method of preserving pathology and/or explant materials.**
- If a specimen or explant material is available, the parties further request that you contact our explant pathology storage facility representative to arrange for the shipment and storage of these preserved materials once your normal pathology procedures have been completed. Standard delivery FedEx or UPS shipping is sufficient to:

Kate Grayson
Steelgate, Inc.,
2307 58th Avenue East
Bradenton, Florida 34203
kate@steelgateinc.com
(941) 758-1122 or (866) 647-8335

To facilitate this request, enclosed please find a HIPAA-compliant authorization signed by the above-referenced Plaintiff for the release of the pathology and explant specimens to Steelgate as instructed above.

Instructions for Reimbursement for Costs Incurred: For reimbursement of costs incurred in the collection, preservation, and shipping of the specimens, please submit an itemized invoice to:

[PLAINTIFF'S COUNSEL, FIRM NAME, ADDRESS, PHONE/EMAIL]

Should you have any questions or concerns regarding this matter, please contact either Ms. Grayson or the undersigned.

If you are not the appropriate recipient of this request, please notify and forward a copy of this letter to the appropriate person or entity responsible for ensuring compliance with the terms of this request at your earliest convenience. Thank you very much for your assistance.

Very truly yours,

[Signature Block: Counsel for Plaintiff]

Encls. as stated

cc: [Defense counsel (email)]
Kate Grayson (kate@steelgateinc.com)

EXHIBIT “B”

NOTICE TO PRESERVE EVIDENCE
URGENT ACTION REQUIRED

[Date]

Attn: Department of Surgery and Pathology
[Address of Explant Facility]

Re: [Date of Anticipated Explant Surgery, Case Caption]

Dear Department of Surgery and Pathology:

I represent the Plaintiff, [PLAINTIFF FIRST, MIDDLE, LAST NAME], and _____, copied below, represents the Defendant, Ethicon, Inc. (Ethicon), in the above-referenced lawsuit. There is no litigation pending against your facility or the treating physician in this matter. The pathology and any remaining explanted mesh material is important evidence in pending litigation against Ethicon and preservation of these items is critical. I write to request the following:

- **Please follow the pathology procedures your facility uses in the ordinary course of business.** Once you have completed your normal pathology procedures, we request that any pathology, paraffin blocks, and/or pathology slides, as well as any tissues and/or the explanted mesh obtained during [PLAINTIFF FIRST, MIDDLE, LAST NAME]’s upcoming surgery, should be preserved by using your standard operating procedures for preserving pathology and explant materials. Remaining gross specimens containing mesh and/or tissue should be placed in a container of dilute formalin (10% is standard). All pathology described above should then be shipped to our storage facility, at our expense, as discussed below.
 - [PLAINTIFF FIRST, MIDDLE, LAST NAME]’s surgery is scheduled for [date], to be performed by Dr. [Explant Surgeon].
- Please contact our explant pathology storage facility representative to arrange for the shipment and storage of these materials. Contact information is as follows:

Kate Grayson
Steelgate, Inc.,
2307 58th Avenue East
Bradenton, Florida 34203
kate@steelgateinc.com
(941) 758-1122 or (866) 647-8335

To facilitate this request, enclosed please find a HIPAA-compliant authorization signed by the Plaintiff for the release of the pathology and explant specimens to Steelgate as instructed above.

Instructions for Reimbursement for Costs Incurred: For reimbursement of costs incurred in the collection, preservation, and shipping of the specimens, please submit an itemized invoice to:

[PLAINTIFF'S COUNSEL, FIRM NAME, ADDRESS, PHONE/EMAIL]

Should you have any questions or concerns regarding this matter, please contact either Ms. Grayson or the undersigned.

If you are not the appropriate recipient of this request, please notify and forward a copy of this letter to the appropriate person or entity responsible for ensuring compliance with the terms of this request at your earliest convenience. Thank you very much for your assistance.

Very truly yours,
[Signature Block: Counsel for Plaintiff]

Encls. as stated

cc: [Defense counsel (email)]
Kate Grayson (kate@steelgateinc.com)

CHAIN OF CUSTODY FORM FOR PATHOLOGY MATERIALS

Patient Name: _____ Date: _____
Law Firm: _____ Surgery Date: _____

ENTRY NO. 1: Pick Up Location / Releasing Party Information:

Facility Name: _____
Address: _____
Address: _____

Contact Name: _____ Department: _____

Contact Phone #: _____ Contact Email: _____

Item Description (include manner of preservation, size of specimen, slide number and any other identifying mark(s)).

(1) _____ (2) _____
(3) _____ (4) _____

Person RELEASING Shipment: _____ (sign/print)

Date: _____ Time: _____

Witness: _____ (sign/print)

Date: _____ Time: _____

ENTRY NO. 1: Recipient Location/Receipt information:

Facility Name: Steelgate Inc., 2307 58th Avenue East, Bradenton, FL 34203

Item Description (include manner of preservation, size of specimen, slide number and any other identifying mark(s)).

(1) _____ (2) _____
(3) _____ (4) _____

Note any changes of condition:

Condition of specimen: ambient , frozen , unfrozen , refrigerated

Condition of Container: undamaged , damaged , describe: _____

Person RECEIVING Shipment: _____ (sign/print)

Date: _____ Time: _____

Witness: _____ (sign/print)

Date: _____ Time: _____

CHAIN OF CUSTODY FORM FOR PATHOLOGY MATERIALS

Patient Name: _____ Date: _____
Law Firm: _____ Surgery Date: _____

ENTRY NO. 2: Pick Up Location / Releasing Party Information

Facility Name: Steelgate Inc., 2307 58th Avenue East, Bradenton, FL 34203

Item Description (include manner of preservation, size of specimen, slide number and any other identifying mark(s)).

(1) _____ (2) _____

(3) _____ (4) _____

Person RELEASING Shipment: _____ (sign/print)

Date: _____ Time: _____

Witness: _____ (sign/print)

Date: _____ Time: _____

ENTRY NO. 2: Recipient Location/Receipt information:

Facility Name:
Address:
Address: _____

Contact Name: _____ Department: _____

Contact Phone #: _____ Contact Email: _____

(1) _____ (2) _____

(3) _____ (4) _____

Note any changes of condition:

Condition of specimen: ambient (_____), frozen (_____), unfrozen (_____), refrigerated (_____)

Condition of Container: undamaged (_____), damaged (_____), describe: _____

Person RECEIVING Shipment: _____ (sign/print)

Date: _____ Time: _____

Witness: _____ (sign/print)

Date: _____ Time: _____

CHAIN OF CUSTODY FORM FOR PATHOLOGY MATERIALS

Patient Name: _____ Date: _____
Law Firm: _____ Surgery Date: _____

ENTRY NO. 3: Pick Up Location / Releasing Party Information

Facility Name:

Item Description (include manner of preservation, size of specimen, slide number and any other identifying mark(s)).

(1) _____ (2) _____
(3) _____ (4) _____

Person RELEASING Shipment: _____ (sign/print)

Date: _____ Time: _____

Witness: _____ (sign/print)

Date: _____ Time: _____

ENTRY NO.3: Recipient Location/Receipt information:

Facility Name:

Address: _____

Address: _____

Contact Name: _____ Department: _____

Contact Phone #: _____ Contact Email: _____

Item Description (include manner of preservation, size of specimen, slide number and any other identifying mark(s)).

(1) _____ (2) _____
(3) _____ (4) _____

Note any changes of condition:

Condition of specimen: ambient (____), frozen (____), unfrozen (____), refrigerated (____)

Condition of Container: undamaged (____), damaged (____), describe: _____

Person RECEIVING Shipment: _____ (sign/print)

Date: _____ Time: _____

Witness: _____ (sign/print)

Date: _____ Time: _____